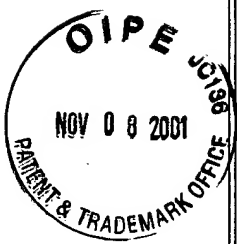


3763

PATENT  
Customer No. 22,852  
Attorney Docket No. 8386.0003-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Thomas V. RESSEMANN et al. ) Group Art Unit: 3763  
)  
Application No.: 09/940,986 ) Examiner: Unknown  
)  
Filed: August 29, 2001 )  
)  
For: EMBOLI PROTECTION DEVICES )  
AND RELATED METHODS OF USE )

RECEIVED  
NOV 13 2001  
TC 3700 MAIL ROOM

Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the document listed on the attached PTO 1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

A copy of the listed document is attached.

Applicants respectfully request that the Examiner consider the listed document and indicate that it was considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art

against any claim in the application and applicants determine that the cited document does not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such document.

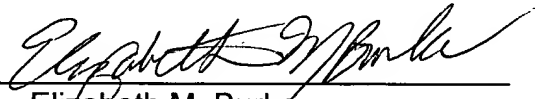
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 8, 2001

By:   
Elizabeth M. Burke  
Reg. No. 38,758

## INFORMATION DISCLOSURE CITATION

Atty. Docket No. 08386.0003-01	Serial No. 09/940,986
Applicant Thomas V. RESSEMANN et al.	
Filing Date August 29, 2001	Group: 3763

U.S. PATENT DOCUMENTS						
Examiner Initials	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,295,989	10/2/01	Connors, III	128	898	

FOREIGN PATENT DOCUMENTS							
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce